

AML & SANCTIONS PROGRAM TRANSFORMATION

Anti-money laundering (AML) and sanctions are not just a regulatory requirement and compliance issue. They fundamentally impact a company's reputation, regulatory fines, compliance costs, and profits.

Benefit from an Improved AML & Sanctions Model

At Protiviti, we excel in optimizing technology landscapes and embracing innovation. We augment existing technologies, explore emerging tech, and leverage data to transform AML and sanctions programs from being reactive to proactive. We provide essential financial crime consulting (FCC) services to empower organizations to take on challenges such as reducing costs and adhering to regulatory requirements:

Trust the transformation progress

In transformational processes issues tend to arise when you try to operate effectively while making improvements. We support you in all stages of your journey by ...

... diagnosing your current state, identifying pain points and determining root causes.





System Gap Analysis

Red flags scenario coverage analysis

Scenario effectiveness analysis to identify redundant rules/scenarios

Watchlist selection

Vendor sytem selection

Agile Optimization



Threshold setting and optimization

Transaction based dynamic cus-tomer risk rating (CRR) model

Transaction based customer segmentation and peer grouping

Sanctions lists cross reference and consolidation

Engineering and Cost Reduction



Alert risk scoring for risk ranking alerts for review prioritization

Alert triage at customer level for bulk review and clearing

Automated pre-population of information needed for alert review

Machine learning for correspondent banking and sanctions screening

Model Validation





Countries

Professionals

Protiviti advises companies in a hands-on way and on a par in the areas of strategy, organizational transformation and optimization, ESG, digital transformation, risk management, internal audit and control systems, compliance, and IT. Together, we find individual solutions to position your company for years to come. Face the Future with Confidence.

AML Operations

AML Digital Services

AML Managed Services

Re-inventing AML functions to create an inter-operable structure across businesses and geographies

Process and Control

Optimization

data, and tech.

define a deployment plan.

nuing to improve it.

organization

Adopting emerging technologies to reduce costs and free up capacities for more value-adding activities

Outsourcing transaction review and operational risk management to drive productivity and quality improvement



Target Operating Model Design & Implementation

Talent & Culture Development

... designing your future operating model

to optimize benefits with regard to policy, organization, people, processes, governance,

... building and testing operating model

components to achieve desired benefits and

... deploying the optimized model, stabili-

model and component changes, and conti-

zing it, and planning future releases. ... operating the new AML and sanctions

Custom-fit AML Services for your

Together with your organization, we re-

imagine how you bring together people,

data, and processes. We leverage emerging

technologies to achieve operational excel-

and propels your competitive advantage.

lence, which adds value for your customers



Digital Maturity Assessment



Lookback and Backlog Remediation



Technology Strategy & Implementation



Ongoing and Surge Production Support



Data & Advanced Analytics



Quality Assurance Reviews

CHECKLISTE

OUR SERVICE

INDUSTRY EXPERTISE

Know-how in FCC and AML best practices



ESTABLISHED PARTNERSHIPS

Cooperation with leading software providers

TAILORED SOLUTIONS

Supporting individual AML and sanctions journeys



PROVEN TECHNOLOGIES

Leveraging tools, methodologies and frameworks



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Providing short and long-term support

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